

FORM PTO-1449
(REV. 7.80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.: M10-02354-US

SERIAL NO.:

LIST OF PRIOR ART CITED BY APPLICANT
(Use several sheets if necessary)

APPLICANT: Walker et al.

FILING DATE: December 6, 2001

GROUP:



U. S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
SP	6,088,498	07/11/2000	Hibbs-Brenner et al.	385	52	08/14/1998
SP	6,069,991	05/30/2000	Hibbs-Brenner et al.	385	50	08/14/1998
SP	5,767,999	06/16/1998	Kayner	359	163	05/02/1996
SP	5,734,558	03/31/1998	Poplawski et al.	361	752	06/07/1995
SP	6,169,295	01/02/2001	Koo	257	81	05/29/1998
SP	5,879,173	03/09/1999	Poplawski et al.	438	138	10/17/1997

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

/Sung Pak/

DATE CONSIDERED

08/24/2006

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(USE AS MANY SHEETS AS NECESSARY)

 Sheet 1 of 2
COMPLETE IF KNOWN

Application Number	10/006,103
Filing Date	December 6, 2001
First Named Inventor	Harold Y. Walker, Jr. et al.
Art Unit	2874
Examiner Name	t.b.d.
Attorney Docket Number	M40 02354 US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
SP		US 6,430,053	B1	08-06-2002	Peterson et al.	
		US 6,259,769	B1	07-10-2001	Page et al.	
		US 6,231,145	B1	05-15-2001	Liu	
		US 6,229,708	B1	05-08-2001	Corbin, Jr. et al.	
		US 6,149,465	A	11-21-2000	Berg et al.	
		US 6,142,828	A	11-07-2000	Pepe	
		US 5,931,290	A	08-03-1999	Wehrli, III et al.	
		US 5,901,263	A	05-04-1999	Gaio et al.	
		US 5,820,398	A	10-13-1998	Stabroth et al.	
		US 5,596,665	A	01-21-1997	Kurashima et al.	
		US 5,561,727	A	10-01-1996	Akita et al.	
		US 5,546,281	A	08-13-1996	Poplawski et al.	
		US 5,528,408	A	06-18-1996	McGinley et al.	
		US 5,487,678	A	01-30-1996	Tsuji et al.	
		US 5,416,871	A	05-16-1995	Takahashi et al.	
		US 5,202,943	A	04-13-1993	Carden et al.	
		US 5,071,219		12-10-1991	Yurtin et al.	
		US 5,069,522		12-03-1991	Block et al.	
		US 5,039,194		08-13-1991	Block et al.	
		US 4,993,803		02-19-1991	Suvarison et al.	
		US 4,798,430		01-17-1989	Johnson et al.	
		US 4,678,264		07-07-1987	Bowen et al.	
		US 4,387,956		06-14-1983	Cline	

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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.01. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 Of 2

COMPLETE IF KNOWN

Application Number	10/006,103
Filing Date	December 6, 2001
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Group Art Unit	2874
Examiner Name	t.b.d.
Attorney Docket Number	M40 02354 US

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
SP		JP	4-165312		06-11-1992	Nippon Mining Co. Ltd.		
SP		EP	0456298B1		11-13-1991	DuPont (US); DuPont (NL)		
SP		EP	0442608B1		08-21-1991	AMP Inc.		
SP		GB	2 297 007	A	12-12-1995	Methode Electronics Inc.		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
SP		SMALL FORM FACTOR TRANSCEIVER MULTISOURCE AGREEMENT, cooperation agreement among Agilent Technologies, Blaze Network Products, E2O Communications, Inc., Excelight Communications, Finisar Corporation, Fujikura Technology America Corp., Hitachi Cable, Infinion Technologies Corp., IBM Corp., Lucent Technologies, Molex, Inc., Optical Communication Products, Inc., Picolight, Inc., Stratos Lightwave, Tyco Electronics, Sep. 14, 2000.	
SP		BLOCK et al., Field Replaceable Optical Link Card, IBM Technical Disclosure Bulletin, vol. 37, no. 02B, Feb. 1994.	
SP		SODERSTROM et al., CD Laser Optical Data links for Workstations and Midrange Computers, Electronic Components and Technology Conference, Jun. 1993.	
SP		BLOCK et al., Optical Link Card Guide/Retention System, Research Disclosure, Kenneth Mason Publications Ltd., Apr. 1993, no. 348.	
SP		BALDWIN et al., Fiber Optic Module Interface Attachment, Research Disclosure, Kenneth Mason Publications Ltd., Oct. 1991, no. 330.	
SP		JARVELA, Package for Electrical and Optical Components of Communications Port of Data Processing System, IBM Technical Disclosure Bulletin, vol. 27, no. 8, Jan. 1985.	

Examiner
Signature

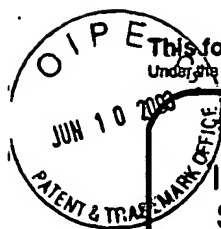
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PTO/SB/08A (04-03)
Approved for use 04-30-2003 OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

COMPLETE IF KNOWN

Application Number	10/006,103
Filing Date	12-06-2001
First Named Inventor	Harold Y. Walker, Jr., et al.
Art Unit	2874
Examiner Name	t.b.d.
Attorney Docket Number	M40 02354 US

U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
SP		US 2002/ 0150343	A1	10-17-2002	Chiu et al.	
SP		US 2002/ 0150353	A1	10-17-2002	Chiu et al.	
SP		US 2003/ 0059167	A1	03-27-2003	Chiu et al.	
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		Country Code ²	Number ⁴	Kind Code ⁵ (if known)				

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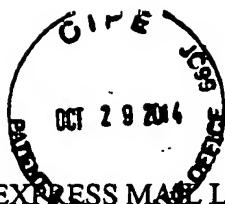
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC, 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC, 20231.

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Form PTO-1449

Sheet 1 of 3

Applicant: Walker, Jr., et al.

Serial No.: 10/006,103

Att'y Docket No.: 15436.436.1

Filing Date: December 6, 2001

Group: 2874

Confirmation No.: 9457

For: METHOD AND SYSTEM FOR RELEASING A PLUGGABLE
MODULE

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
<u>SP</u> 1	4,083,619	Apr. 11, 1978	McCormick et al.
<u>SP</u> 2	5,195,897	Mar. 23, 1993	Kent et al.
<u>SP</u> 3	5,256,080	Oct. 26, 1993	Bright
<u>SP</u> 4	5,329,428	Jul. 12, 1994	Block et al.
<u>SP</u> 5	5,738,538	Apr. 14, 1998	Bruch et al.
<u>SP</u> 6	6,169,295	Jan. 2, 2001	Koo
<u>SP</u> 7	US2003/0100204	May 29, 2003	Hwang

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
<u>SP</u> 8	DE20005316	Sep. 7, 2000	Siemens

Examiner: /Sung Pak/

Date Considered: 08/24/2006

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EXPRESS MAIL LABEL NO.: EV 485808220 US

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Sheet 2 of 3

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Other Documents

(including author, title, pertinent pages, etc.)

Examiner

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SP 9

Article Titled "XFP (10 Gigabit Small Form Factor Pluggable Module)," Ghiasi,
et al., XFP MSA, 2002.

Examiner: /Sung. Pak/

Date Considered: 08/24/2006

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Sheet 3 of 3

Applicant: Walker, Jr., et al.

Serial No.: 10/006,103

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Filing Date: December 6, 2001

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Confirmation No.: 9457

For: METHOD AND SYSTEM FOR RELEASING A PLUGGABLE
MODULE

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Date Considered: 08/24/2006

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